

**PART B - FEE(S) TRANSMITTAL**

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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590 11/10/2004

Crockett & Crockett  
Suite 400  
24012 Calle De La Plata  
Laguna Hills, CA 92653



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

02/14/2005 MBERHE1 00000017 10680995

01 FC:2501 700.00 OP  
02 FC:1504 300.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/680,995	10/07/2003	Larry A. Spiegel	212/507	6656

TITLE OF INVENTION: RETAINING RING FOR WAFER CARRIERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$685	\$300	\$985	02/10/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, GEORGE BINH MINH	3723	451-398000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. K. David Crockett, Esq.  
Crockett & Crockett  
3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Strasbaugh

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

San Luis Obispo, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date Feb 10, 2005

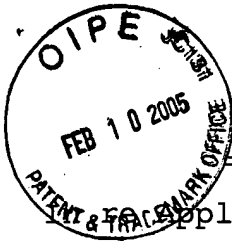
Typed or printed name \_\_\_\_\_

K. David Crockett

Registration No. 34,311

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Docket No. 212/507

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application of:

Spiegel, Larry A.

Serial No.: 10/680,995

Filed: October 7, 2003

For: Retaining Ring For Wafer Carriers

Class-Subclass: 451-398000

Notice of Allowance:  
November 10, 2004

Art Unit: 3723

Examiner: Nguyen, George Binh Minh

TRANSMITTAL OF ISSUE FEE

Commissioner of Patents & Trademarks  
P.O. Box 1450  
Alexandria, VA 22313  
Mail Stop Issue Fee

Sir:

Enclosed please find the following papers for U.S. Patent Application No. 10/680,995:

☒ Issue Fee(s) Transmittal, Part B

☒ Check #3318 for ☐ \$1400 ☐ \$700 ☐ \$1700 ☒ \$1000

☒ The Commissioner is authorized to charge payment of the issue fee of ☐ \$1400 ☐ \$700 ☐ \$1700 ☐ \$1000 ☒ charge any deficiency in the fee paid, or credit any overpayment to Deposit Account 03-3700.


☐ Power of Attorney ☐ Assignment

☐ Notification of Change in Small Entity Status

☐ Drawing Transmittal and 0 sheets formal drawings

Date: February 10, 2005

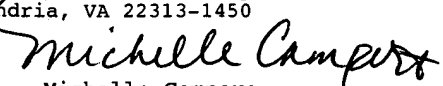
By:

  
K. David Crockett, Esq.  
Reg. No. 34311

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this response (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to MS Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Express Mail No. EL965835065US  
Date: February 10, 2005

  
Michelle Campero